

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EU098493435US, in an envelope addressed to: Box PCT, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: April 4, 2002

Signature: *Linda A. Bourg*
(Linda A. Bourg)

4 to 8
Docket No.: HO-P02353US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Luet L. Wong, et al.

Application No.: 10/018,730
Filed: December 18, 2001

Group Art Unit: N/A

Int'l Appln. No.: PCT/GB00/02379
Filed: June 19, 2000

Examiner: Not Yet Assigned

For: PROCESS FOR OXIDISING AROMATIC
COMPOUNDS.

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Box PCT/DOEO
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the above-noted Notification mailed February 4, 2002, Applicant respectfully submits:

1. A Declaration,
2. An Assignment,
3. A Second Preliminary Amendment,
4. A Response to the Notice to Comply with Requirements for Patent

Application Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures,


5. A copy of the corrected Sequence Listing in computer readable form and in paper form.

Please charge our Deposit Account No. 06-2375 in the amount of \$298.00 covering the fee set forth in 37 CFR 1.16(e) (\$130.00), and covering the additional claim fees (\$168.00). The Commissioner is hereby authorized to charge any deficiency in the fees filed,

asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. 10112404. A duplicate copy of this paper is enclosed.

Dated: April 4, 2002

Respectfully submitted,

By 
Elizabeth A. Hart, Registered Patent Agent
Registration No.: 50,931
FULBRIGHT & JAWORSKI L.L.P.
1301 McKinney, Suite 5100
Houston, Texas 77010-3095
(713) 651-5698
(713) 651-5246 (Fax)

04/10/2002 MALI11 00000084 062375 10018730

01 FC:964	168.00 CH
02 FC:154	130.00 CH

FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

Complete if Known

Application Number	10/018,730
Filing Date	December 18, 2001
First Named Inventor	Luet L. Wong
Examiner Name	Not Yet Assigned
Group Art Unit	N/A
Attorney Docket No.	HO-P02353US1

METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Check	Credit Card	Money Order	Other	None

☒ Deposit Account

**Deposit
Account
Number**

06-2375

Deposit
Account
Name

Fulbright & Jaworski L.L.P.

Name

The Commissioner is hereby authorized to: *(check all that apply)*

<input checked="" type="checkbox"/>	Charge fee(s) indicated below	<input checked="" type="checkbox"/>	Credit any overpayments
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☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity
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Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)	(\$)	0.00
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text"/>	** =	<input type="text"/> x	<input type="text"/>	<input type="text"/>
Independent Claims	<input type="text"/>	** =	<input type="text"/> x	<input type="text"/>	<input type="text"/>
Multiple Dependent				<input type="text"/>	<input type="text"/>

Large Entity	Small Entity
<p>1. Revenue (Revenue from contracts with customers)</p> <p>2. Cost of Sales (Cost of goods sold)</p> <p>3. Operating Expenses (Selling, general, and administrative expenses)</p> <p>4. Income Tax Expense</p> <p>5. Other Income (Interest income, dividend income, etc.)</p> <p>6. Other Expenses (Interest expense, depreciation, etc.)</p> <p>7. Net Income</p>	<p>1. Revenue (Revenue from contracts with customers)</p> <p>2. Cost of Sales (Cost of goods sold)</p> <p>3. Operating Expenses (Selling, general, and administrative expenses)</p> <p>4. Income Tax Expense</p> <p>5. Other Income (Interest income, dividend income, etc.)</p> <p>6. Other Expenses (Interest expense, depreciation, etc.)</p> <p>7. Net Income</p>

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	0.00
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****or number previously paid, if greater; For Reissues, see above**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity
<p>1. Revenue Recognition</p> <p>Large entities use the percentage of completion method for long-term contracts, recognizing revenue as work progresses. Small entities often use the cost of sales method, recognizing revenue only when the contract is completed.</p>	<p>1. Revenue Recognition</p> <p>Small entities often use the cost of sales method for long-term contracts, recognizing revenue only when the contract is completed.</p>
<p>2. Inventory Valuation</p> <p>Large entities use the FIFO (First In, First Out) method for inventory valuation. Small entities may use the LIFO (Last In, First Out) method, which can provide a tax advantage in periods of rising prices.</p>	<p>2. Inventory Valuation</p> <p>Small entities may use the LIFO (Last In, First Out) method for inventory valuation, which can provide a tax advantage in periods of rising prices.</p>
<p>3. Depreciation</p> <p>Large entities use the MACRS (Modified Accelerated Cost Recovery System) for depreciation. Small entities may use the straight-line method, which spreads the cost of an asset evenly over its useful life.</p>	<p>3. Depreciation</p> <p>Small entities may use the straight-line method for depreciation, which spreads the cost of an asset evenly over its useful life.</p>
<p>4. Bad Debt Expense</p> <p>Large entities use the allowance method for bad debt expense, estimating the amount of uncollectible accounts at the end of each period. Small entities may use the direct write-off method, recognizing bad debt expense only when an account is determined to be uncollectible.</p>	<p>4. Bad Debt Expense</p> <p>Small entities may use the direct write-off method for bad debt expense, recognizing bad debt expense only when an account is determined to be uncollectible.</p>
<p>5. Lease Accounting</p> <p>Large entities use the ASC 842 (Leases) for lease accounting, recognizing lease liabilities and assets on the balance sheet. Small entities may use the ASC 840 (Leases), which allows for the use of the operating lease method.</p>	<p>5. Lease Accounting</p> <p>Small entities may use the ASC 840 (Leases) for lease accounting, which allows for the use of the operating lease method.</p>

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	130.00
127	50	227	25	Surcharge – late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)				Additional claim fees	168.00

Other fee (specify)

Subtotal	SUBTOTAL (3)	(\$)	338.00
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SUBMITTED BY

Name (Print/Type)	Elizabeth A. Hart
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Registration No.
(Attorney/Agent)

50.931

Complete (if applicable)

Telephone	(713) 651-5698
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Signature

Elizabeth A. Hart

Date _____

April 4, 2002

Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EU098493435US, in an envelope addressed to: Box PCT, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: April 4, 2002

Signature: Linda A. Bourq (Linda A. Bourq)



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PC1
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/018,730	Luet L Wong	HO-P02353USO

INTERNATIONAL APPLICATION NO.

PCT/GB00/02379

IA. FILING DATE	PRIORITY DATE
06/19/2000	06/18/1999

David L Fox
 Fulbright & Jaworski
 1301 McKinney Suite 5100
 Houston, TX 77010-3095

CONFIRMATION NO. 5069

371 FORMALITIES LETTER



OC00000007406595

Date Mailed: 02/04/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
- Additional claim fees of **\$168** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$298** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$168**
 - **\$168** for 2 independent claims over 3.
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/018,730	PCT/GB00/02379	HO-P02353USO